



IOOF

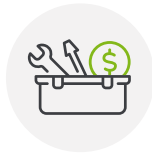
ALLIANCES

Your AFSL. Your business. Your journey
Our support

January 2021

Whatever journey you're on, we have a package to suit

Existing AFSL



DIY option

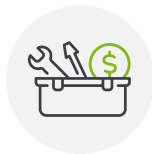
- DIY or current compliance arrangements
- IOOF Alliances Essentials AFSL membership package
- AR access membership



Peace of mind option

- AFSL compliance programme
- IOOF Alliances Essentials AFSL membership package
- AR access membership

New AFSL



DIY option

- DIY licence application
- DIY compliance programme
- IOOF Alliances Essentials AFSL membership package
- AR access membership



Peace of mind option

- AFSL licence application
- AFSL compliance programme
- IOOF Alliances Essentials AFSL membership package
- AR access membership

Additional options to consider

Kaplan / Software / Research tools / PI / Revenue management
Paraplanning/Admin / Cyber protection / Business coaching
Financing / HR packages

Our membership packages



1. IOOF Alliances Essentials AFSL

- PD Days x 2
- PD Months x 2
- RM training
- Technical hotline
- Compliance hotline
- Compliance forums
- Business sessions
- Resource portal
- Marketing content hub
- RM newsletter
- Access to third party solutions and discounts
- Advice template library
- APL and research support
- Lonsec and MorningStar research package



2. IOOF Alliances Compliance Program

- 4 compliance committee meetings
- Regulatory updates and analysis
- Maintenance of compliance framework and adherence to compliance calendar
- Ongoing review and updating of registers
- Ongoing technical compliance support
- Audit findings and remediation results
- Supply and update policies
- 4 advice audits included to inform the committee meeting discussions



3. IOOF Alliances XPLAN Site Access

- Managed XPLAN site
- Migration support
- Coded templates
- Wizards
- Training and ongoing support



4. IOOF Alliances AR Access

- PD Days x 2
- PD Months x 2
- Technical hotline
- Compliance hotline
- Compliance forums
- Business sessions
- Resource portal
- Marketing content hub
- Advice template library
- Access to third party solutions and discounts



How we help

Our support services help you with everything from applying for your AFSL and responsible manager training to growing your business while operating compliantly and efficiently.

By partnering with IOOF Alliances, you'll get access to:

- Extensive experience in managing AFSL governance and compliance obligations
- Peer to peer networking with like-minded self-licensed businesses
- Professional development and training including state-based PD days, conferences and peer group forums
- Technical help desk support and discounts on software solutions
- Resource centre including advice templates, marketing library, research and technical portal.

Talk to us today to see how IOOF Alliances can support your self-licensed advice business.

For more information, please contact:

Andy Marshall

Head of IOOF Alliances

T 02 9028 1080

E andy.marshall@ioof.com.au

Important:

The information contained in this document is provided on behalf of IOOF Alliances Pty Limited ABN 12 103 365 091. IOOF Alliances Pty Limited is a company within the IOOF group of companies, consisting of IOOF Holdings Limited ABN 49 100 103 722 and its related bodies corporate. This document and its contents is the intellectual property of IOOF Alliances and must not be reproduced without the prior consent of IOOF Alliances. Information contained in this document is current as at the date of publication, but may be superseded by future publications. IOOF Alliances makes no representations in respect of, and to the extent permitted by law, excludes all warranties in relation to, the accuracy or completeness of the information contained in this document.

For further information, please contact ioofalliances@ioof.com.au